



PATENT

3622  
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#29

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that on **December 31**, 2003, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David Prange

**Applicant:** SEAN HANDEL

**Serial No.:** 09/196,338

**Filed:** 11-19-1998

**Title:** A PERSONALIZED PRODUCT REPORT

**Atty. Docket No.:** 060021-302901

**Examiner:** KEMPER, MELANIE A

**Group Art Unit:** 3622

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56**

Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. These references may be material to examination of the above-identified application. Please do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. § 1.97(h)), or that no other material information exists. We enclose copies of the cited documents within a box herewith.

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This Information Disclosure Statement is being submitted:

- ☒ 1. Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application, or before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114, and therefore, Applicant believes no fee is required;
- ☐ 2. After the period specified in paragraph (1) hereinabove of this section, but is being filed before the mailing date of either a final action under 37 CFR 1.113, or a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of the following:
- ☐ (a) A statement that either:
- (i) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
- OR
- (ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;
- OR
- ☐ (b) The fee of \$180 for filing of an Information Disclosure Statement as set forth in 37 C.F.R. 1.17(p).
- ☐ 3. After the period specified in paragraph (2) of this section, but is filed on or before payment of the issue fee and is accompanied by both:
- ☐ (a) A statement that either:
- (i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
- OR
- (ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;
- ☐ (b) The fee of \$180.00 for filing of an Information Disclosure Statement as set forth in 37 CFR 1.17(p).

Applicant would appreciate the Examiner initialing and signing a copy of Form PTO-1449, transmitted herewith, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1901** referencing order number 060021-302901.

December 2, 2003

  
Christopher R. Hilberg, Reg. No. 48,740  
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Receipt date: 01/05/2004

Serial No 09/196,338

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**DAYCO STATEMENT REGARDING RELATED APPLICATIONS**

Sir:

In the recent case, *Dayco Products, Inc. v. Total Containment, Inc.*, 329 F.3d 1358; 66 U.S.P.Q.2d 1801 (Fed.Cir.2003), the Federal Circuit stated (1) in the context of 37 C.F.R. § 1.56, a co-pending application may be "material" in the prosecution of a patent, even if the co-pending application cannot result in a shorter patent term; and (2) in the context of 37 C.F.R. § 1.56, a contrary decision of another examiner reviewing a substantially similar claim meets the threshold level of materiality.

In light of *Dayco*, Applicant respectfully identifies the following United States patent applications, which are or were co-pending with the present application and may contain similar subject matter as the present application:

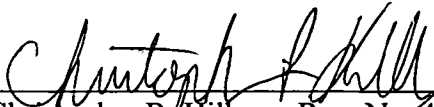
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**GROUP 3600**

Serial No.	Patent No.	Filing Date	Atty. Docket	Title
09/196,483	6,195,651	11-19-1998	060021-302701	A TUNED USER APPLICATION EXPERIENCE
09/195,852		11-19-1998	060021-303001	SHARING A CENTRALIZED PROFILE
60/115,515		11-12-1998	060021-303700	A CLIENT CENTRIC NETWORKING EXPERIENCE
09/196,395		11-19-1998	060021-302801	ADVANCED INFORMATION GATHERING FOR A STATISTICAL BACKGROUND AGENT REPORTING SYSTEM
09/196,336		11-19-1998	060021-303101	ADVANCED INFORMATION GATHERING FOR A GROUPED PROFILE NETWORK INTERFACE
09/195,861		11-19-1998	060021-303201	ADVANCED INFORMATION GATHERING FOR A CLIENT INTENTION APPLICATION EXPERIENCE
09/196,479		11-19-1998	060021-303301	ADVANCED INFORMATION GATHERING FOR A CLIENT INTENTION NETWORKING EXPERIENCE
09/583,094		08-24-2000	060021-303302	A CLIENT INTENTION NETWORKING EXPERIENCE
60/110,817		11-12-1998	060021-303601	ADVANCED MOBILE BARGAIN SHOPPING
60/110,818		11-12-1998	060021-321001	ADVANCED INFORMATION GATHERING FOR TARGETED ACTIVITIES

Applicant brings these applications to the attention of the examiner, or other Office official involved with the examination of the present application. However, please do not construe the filing of this statement as a representation that applicant has made a search, or as an admission that the co-pending applications are, or are considered to be, material to patentability, or that no other co-pending applications exist.

Receipt date: 01/05/2004  
Serial No 09/196,338  
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December 2, 2003

  
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